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DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES

10873.704USWO

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

FORM PTO-1390 (REV 10-94) U.S. APPLICATION NO. (II known, see 37 C.F.R. Undown 8 0 7 8 6 5 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE October 21, 1998 INTERNATIONAL APPLICATION NO. October 19, 1999 OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD AND APPARATUS FOR RECORDING/REPRODUCTION PCT/JP99/05771 TITLE OF INVENTION APPLICANT(S) FOR DO/EO/US Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: NISHIUCHI et al. [X] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. [X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [X] is transmitted herewith (required only if not transmitted by the International Bureau). is not required, as the application was filed in the United States Receiving Office (RO/US) b. [X] has been transmitted by the International Bureau. [X] A translation of the International Application into English (35 U.S.C. 371(c)(2)). [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). [X] An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 10. [] (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. [X] A FIRST preliminary amendment. A SECOND of SUBSEQUENT preliminary amendment. A substitute specification. 14. []

16. [X] Other items or information: International Search Report; International Preliminary Examination Report and translation; PCT Request and translation; PCT/IB/301; PCT/IB/304; PCT/IB/308; Demand and translation; PCT/IB/332; PCT/IB/338

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IN THE UNITED STATES PATENT AND TRADEMARK

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Applicant: Docket:

NISHIUCHI et al. 10873.704USWO

Title:

OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD AND APPARATUS

FOR RECORDING/REPRODUCTION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL669941680US

Date of Deposit: April 19, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Undanda Hon-Name: Yolanda Gray

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.

National Stage PCT Patent Application: Spec. 34 pgs; 30 claims; Abstract 1 pg. The fee has been calculated as shown below in the 'Claims as Filed' table.

□ 12 sheets of formal drawings

A signed Combined Declaration and Power of Attorney

Assignment of the invention to Matsushita Electric Industrial Co., Ltd., Recordation Form Cover Sheet

A check in the amount of \$1600.00 to cover the Filing Fee

A check for \$40.00 to cover the Assignment Recording Fee.

Other: PTO-1390; Preliminary Amendment; International Search Report; International Preliminary Examination Report and translation; PCT application as filed; PCT Demand and translation; Request and translation; PCT/IB/301; PCT/IB/304; PCT/IB/308; PCT/IB/332; PCT/IB/338

Return postcard

CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	2	Fee		
Basic Filing Fee			, *		\$860.00		
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MULTIPLE DEPENDENT CLAIM FEE							
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Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
(612) 332-5300

Name: Douglas P. Mueller Reg. No.: 30,300 Initials: DPM/tvm

23552
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